

EXPRESS MAIL NO. EV064816US

JC10 R001 P001 PTO 14 JAN 2002

FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 11-2000)		ATTORNEY'S DOCKET NO. 970054.411USPC
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unknown 10/031043
INTERNATIONAL APPLICATION NO. PCT/EP00/03828	INTERNATIONAL FILING DATE 27 April 2000 (27.04.00)	PRIORITY DATE CLAIMED 14 July 1999 (14.07.99)
TITLE OF INVENTION WIND ENERGY FACILITY WITH A CLOSED COOLING CIRCUIT		
APPLICANT(S) FOR DO/EO/US WOBBEN, Aloys		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4) 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items of information: 		

U.S. APPLICATION NO. (If known, see 37 CFR 1.1)		INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER																
Unknown 107031043		PCT/EP00/03828	970054.411USPC																
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY																	
Basic National Fee (37 CFR 1.492(a)(1)-(5)):																			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00																			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00																			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00																			
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$710.00																			
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00																			
ENTER APPROPRIATE BASIC FEE AMOUNT =																			
\$890.00																			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130.00																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Claims</th> <th style="text-align: left;">Number Filed</th> <th style="text-align: left;">Number Extra</th> <th style="text-align: left;">Rate</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>37 - 20 =</td> <td>17</td> <td>x \$ 18.00</td> </tr> <tr> <td>Independent Claims</td> <td>7 - 3 =</td> <td>4</td> <td>x \$ 84.00</td> </tr> <tr> <td>Multiple dependent claim(s) (if applicable)</td> <td></td> <td></td> <td>+ \$280.00</td> </tr> </tbody> </table>				Claims	Number Filed	Number Extra	Rate	Total Claims	37 - 20 =	17	x \$ 18.00	Independent Claims	7 - 3 =	4	x \$ 84.00	Multiple dependent claim(s) (if applicable)			+ \$280.00
Claims	Number Filed	Number Extra	Rate																
Total Claims	37 - 20 =	17	x \$ 18.00																
Independent Claims	7 - 3 =	4	x \$ 84.00																
Multiple dependent claim(s) (if applicable)			+ \$280.00																
TOTAL OF ABOVE CALCULATIONS =																			
\$0.00																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$0.00																	
SUBTOTAL =																			
\$1,662.00																			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$0.00																	
TOTAL NATIONAL FEE =																			
\$1,662.00																			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$0.00																	
TOTAL FEES ENCLOSED =																			
\$0.00																			
		Amount to be refunded: charged																	
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,662.00</u> cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. in the amount of <u>\$</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																			
SEND ALL CORRESPONDENCE TO:		 SIGNATURE Katherine J. Drakos NAME 48,559 REGISTRATION NUMBER																	
David V. Carlson, Esq. Seed Intellectual Property Law Group PLLC 701 5 th Avenue, Suite 6300 Seattle, WA 98104-7092 United States of America (206) 622-4900																			

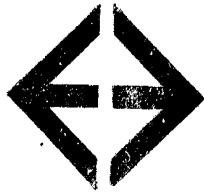
PATENT APPLICATION SERIAL NO. 10 / 031048

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
Fee Record Sheet

01/23/2002 UEDUVIJE 00000081 10031043

01 FC:970	890.00	OP
02 FC:154	130.00	OP
03 FC:966	306.00	OP
04 FC:964	336.00	OP

PTO-1556
(5/87)



10-651043
531 Rec'd POF 14 JAN 2002

• RALPH MCELROY
TRANSLATION COMPANY

EXCELLENCE WITH A SENSE OF URGENCY®

January 4, 2002

Re: 1596-86405

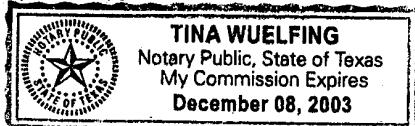
To Whom It May Concern:

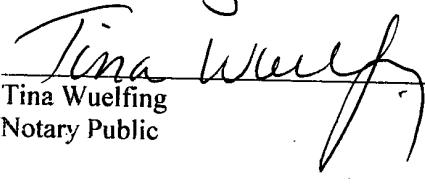
This is to certify that a professional translator on our staff who is skilled in the German language translated the enclosed WO 01/06121 A1 from German into English.

We certify that the attached English translation conforms essentially to the original German language.

Kim Vitray
Operations Manager

Subscribed and sworn to before me this 4 day of January, 2002




Tina Wuefling
Notary Public

My commission expires: December 8, 2003

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